ASSAYA
PRECIOUS METALS DMCC

ASSAYA PRECIOUS METALS DMCC

PLATFORM RULEBOOK

Document 08

Fee Protection Standard

Intermediaries' fees, protected by platform rule

Version 1.0 · Issued November 10, 2026 · Effective upon publication on assayametals.com

Article 1 — The System

1.1 Every Transaction that an Intermediary introduces carries an IMFPA in the framework of Document 11, drafted from the agreed Stage 1 terms, reviewed by each side's appointed counsel, signed and notarised at Stage 2, and paid strictly per its terms.

1.2 An Intermediary's fee position is visible to that Intermediary alone, in its own workspace, per Deal Room.

Article 2 — How Fees Are Paid

2.1 Fees are disbursed by the Paymaster as a line of the Stage 5 settlement waterfall and tracked to REFLECTED, never by side payment. Roll-over tranches run under the same IMFPA, so protection continues per tranche without re-negotiation. The closing statement carries the disbursement proof into the sealed file.

Article 3 — Non-Circumvention

3.1 No Participant shall make or solicit any arrangement, on or off the Platform, direct or indirect, whose purpose or effect is to defeat a protected fee, including contracting with an introduced counterparty outside the Platform within the scope of the Undertaking (Document 12).

3.2 The Deal Room audit log, the Stage 1 worksheet, and the sealed file are the Company's enforcement record of an introduction, and are admissible between the parties as the record of who introduced whom.

Article 4 — Breach

4.1 Circumvention is a material breach of Document 02: participation ends, open rooms freeze, the protected fee remains payable under the IMFPA, and the breaching Participant bears the Company's enforcement costs on an indemnity basis where the IMFPA so provides.

Article — — Governing Law and Dispute Resolution

1 **Governing law.** This document is governed by, and construed in accordance with, the laws of the United Arab Emirates as in force in the Emirate of Dubai, including the regulations of the Dubai Multi Commodities Centre (DMCC).

2 **Arbitration.** Any dispute arising out of or in connection with this document shall first be addressed by negotiation between the parties' senior representatives for fifteen (15) Business Days. Failing resolution, the dispute shall be finally resolved by arbitration administered by the Dubai International Arbitration Centre (DIAC) under the DIAC Arbitration Rules, by a sole arbitrator, seated in Dubai, United Arab Emirates, in the English language. The award is final and binding and may be enforced in any competent court.

3 **Interim relief.** Nothing in this Article prevents a party from seeking urgent interim relief from a competent court, or Assaya from giving effect to a compliance hold under the Responsible Sourcing & AML Standard.

— End of Document 08 —